



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IFW

APPLICANT: Bergersen

ATTY. DOCKET NO.: BER-P-03-052

SERIAL NO.: 10/665,326

GROUP ART UNIT: 3732

FILING DATE: September 18, 2003

EXAMINER: Unassigned

TITLE: "A DENTAL APPLIANCE HAVING AN ALTERED VERTICAL THICKNESS BETWEEN AN UPPER SHELL AND A LOWER SHELL WITH AN INTEGRATED HINGING MECHANISM TO ATTACH AN UPPER SHELL AND A LOWER SHELL AND A SYSTEM AND A METHOD FOR TREATING MALOCCLUSIONS"

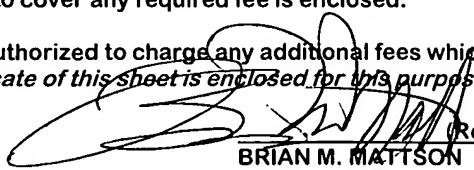
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.
- ☒ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.
- ☐ A check in the amount of \$\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 50-0595. *A duplicate of this sheet is enclosed for this purpose.*

  
(Reg. No. 35,018)  
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When phoning re this  
application, please call  
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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 2, 2005.

  
(Reg. No. 35,018)  
BRIAN M. MATTSO



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

APPLICANT: Bergersen ATTY. DOCKET NO.: BER-P-03-052

SERIAL NO.: 10/665,326 GROUP ART UNIT: 3732

FILING DATE: Sep. 18, 2003 EXAMINER: Unassigned

INVENTION: "A DENTAL APPLIANCE HAVING AN ALTERED VERTICAL THICKNESS BETWEEN AN UPPER SHELL AND A LOWER SHELL WITH AN INTEGRATED HINGING MECHANISM TO ATTACH AN UPPER SHELL AND A LOWER SHELL AND A SYSTEM AND A METHOD FOR TREATING MALOCCLUSIONS"

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

In accordance with the provisions of 37 CFR §1.56, Applicant requests that citation and examination of the foreign patent document identified on the attached Form PTO/SB/08A and Form PTO/SB/08B, copies of which are enclosed herewith, be made during the course of examination of the above-mentioned application for U.S. patent. No fee is due for submission of this statement. If, however, any fees are due and owing in view of this communication, please charge Deposit Account No. 50-0595. ***A duplicate of this sheet is included for this purpose.***

I. SUBMITTED DOCUMENT

A. INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
FOR CORRESPONDING INTERNATIONAL  
APPLICATION NO. PCT/US03/29667

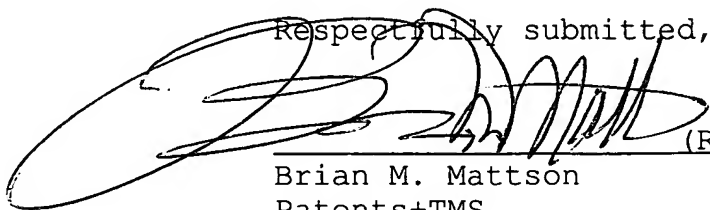
II. REMARKS

A copy of the above International Preliminary Examining

Report, form PTO/SB/08A and form PTO/SB/08B are submitted herewith.

Applicant requests consideration of the attached Report.  
Early consideration of the application is also requested.

Respectfully submitted,

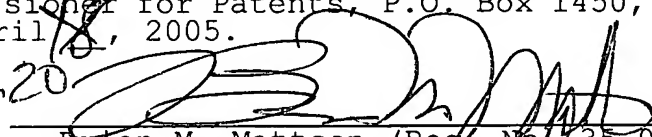


(Reg. No. 35,018)

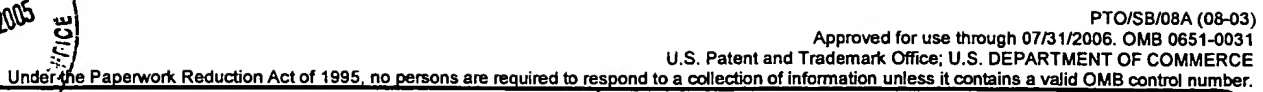
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1914 North Milwaukee Ave.  
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(773) 772-6009  
ATTORNEY FOR APPLICANT

**CERTIFICATE OF MAILING**

I hereby certify that this **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, Form PTO/SB/08A and Form PTO/SB/08B, INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY FOR INTERNATIONAL APPLICATION NO. PCT/US03/16725** are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 18, 2005.

BMM .20 

Brian M. Mattson (Reg. No. 35,018)



**Complete if Known**

**(Use as many sheets as necessary)**

Sheet	1	of	1
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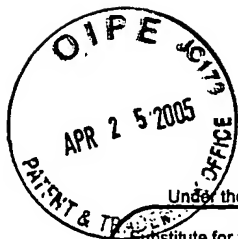
Application Number	10/665,326
Filing Date	September 18, 2003
First Named Inventor	Bergersen
Art Unit	3732
Examiner Name	Unassigned
Attorney Docket Number	BER-P-03-052

[illegible][illegible]

Date	
Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/665,326
Filing Date	September 18, 2003
First Named Inventor	Bergersen
Art Unit	3732
Examiner Name	Unassigned
Attorney Docket Number	BER-P-03-052

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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